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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | | | |
|---|----------------------|------------------------|-------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/777,451 | |
| | Filing Date | February 12, 2004 | |
| | First Named Inventor | Lilip Lau | |
| | Art Unit | Unassigned | |
| | Examiner Name | Unassigned | |
| Total Number of Pages in This Submission | | Attorney Docket Number | PARCR 67465 |

ENCLOSURES (Check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | 24201 | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|-----------------------------------|----------|--------|
| Firm Name | FULWIDER PATTON LEE & UTECHT, LLP | | |
| Signature | | | |
| Printed name | JOHN S. NAGY, ESQ. | | |
| Date | August 9, 2005 | Reg. No. | 30,664 |

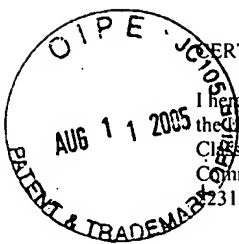
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| Signature | | | |
| Typed or printed name | JOHN S. NAGY, ESQ. | Date | August 9, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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John S. Nagy, Esq., Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/777,451
Applicants : Lilip Lau, Matthew G. Fishler, Craig Mar
Filed : February 12, 2004
Art Unit : 3762
Examiner : Unassigned
Title : CARDIAC HARNESS FOR TREATING CONGESTIVE HEART
FAILURE AND FOR DEFIBRILLATING AND/OR
PACING/SENSING

Docket No.: : PARCR 67465
Customer No. : 24201

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

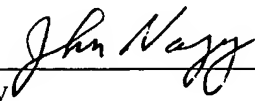
The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicant and are submitted to the Office under 37 C.F.R. § 1.97(b)(3) and §1.98(d). A copy of PCT Patent No. WO 0036995 and of each of the cited articles is enclosed.

This application is a continuation of U.S. Serial No. 10/704,376 filed November 7, 2003.

Applicants believe that this Information Disclosure Statement has been mailed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 
John S. Nagy
Registration No. 30,664

JSN:jeb
Enclosures

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| Sheet | 1 | of | 2 |
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Complete if Known

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|------------------------|-------------------|
| Application Number | 11/008,733 |
| Filing Date | February 12, 2006 |
| First Named Inventor | Lilip Lau |
| Art Unit | 3762 |
| Examiner Name | Unassigned |
| Attorney Docket Number | PARCR 67465 |

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]Examiner
Signature

Date
Considered

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449B/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 10/338,934 |
| | | Filing Date | January 7, 2003 |
| | | First Named Inventor | Don Lucas |
| | | Group Art Unit | 1614 |
| | | Examiner Name | Unassigned |
| | | Attorney Docket Number | PARCR 66288 |
| Sheet | 2 | of | 2 |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | | |
|--|----------------------|--|----------------|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Wharton, J. Marcus, et al., <i>Electrophysiological Effects of Monophasic and Biphasic Stimuli in Normal and Infarcted Dogs</i> , <i>PACE</i> , Vol. 13, pp. 1158-1172, September 1990 | |
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| | | Cohn, Jay N., M.D., <i>The Management of Chronic Heart Failure</i> , <i>The New England Journal of Medicine</i> , Vol. 335, No. 7, pp. 490-498, August 15, 1996 | |
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| | | Shorofsky, Stephen R., et al., <i>Comparison of Step-Down and Binary Search Algorithms for Determination of Defibrillation Threshold in Humans</i> , <i>PACE</i> , Vol. 27, pp. 218-220, February 2004 | |
| | | Gold, Michael R., M.D., et al., <i>Comparison of Single- and Dual-Coil Active Pectoral Defibrillation Lead Systems</i> , <i>Journal of the American College of Cardiology</i> , Vol. 31, No. 6, pp. 1391-1394, May 1998 | |
| | | Rinaldi, C. Aldo, <i>A Randomized Prospective Study of Single Coil Versus Dual Coil Defibrillation in Patients With Ventricular Arrhythmias Undergoing Implantable Cardioverter Defibrillator Therapy</i> , <i>PACE</i> , Vol. 26, pp. 1684-1690, August 2003 | |
| | | Schwartzman, David, M.D., et al., <i>Serial Defibrillation Lead Impedance in Patients with Epicardial and Nonthoracotomy Lead Systems</i> , <i>Journal of Cardiovascular Electrophysiology</i> , Vol. 7, No. 8, pp. 697-703, August 1996 | |
| | | Sandstedt, Bengt, et al., <i>Bidirectional Defibrillation Using Implantable Defibrillators: A Prospective Randomized Comparison Between Pectoral and Abdominal Active Generators</i> , <i>PACE</i> , Vol. 24, Part I, pp. 1343-1353, September 2001 | |
| | | Schulte, B., et al., <i>Dual-Coil vs. Single-Coil Active Pectoral Implantable Defibrillator Lead Systems: Defibrillation Lead Requirements and Probability of Defibrillation Success at Multiples of the Defibrillation Energy Requirements</i> , <i>Europace</i> , Vol. 3, pp. 177-180, July 2001 | |
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